

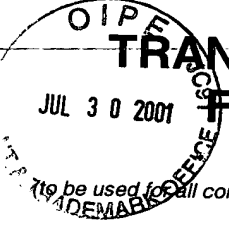
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PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE


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| | | | |
|---|-----------------------------|-------------------------------|--------------|
|  | Application Number | 09/773,476 | |
| | Filing Date | Jan 31, 2001 | |
| | First Named Inventor | Friedrich, Glenn | |
| | Group Art Unit | 1645 | |
| | Examiner Name | to be assigned | |
| Total Number of Pages in This Submission | 30 | Attorney Docket Number | LEX-0126-USA |

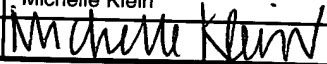
ENCLOSURES (check all that apply)

| | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | EXECUTED DECLARATION - ONE SET; TWO PAGES REQUEST FOR CORRECTED FILING RECEIPT; ATTACHED SPECIFICATION; COPY OF FILING RECEIPT FIGURE 1 W/CORRECTED MARGINS FIGURE 2 W/CORRECTED MARGINS VERIFIED STATEMENT AND SEQUENCE LISTING ON DISK; return postcard |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

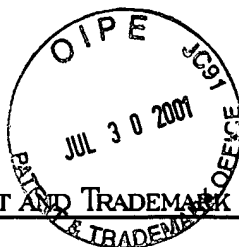
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|--------------------------------|---|
| Firm or Individual name | Lance K. Ishimoto Reg. No. 41,866 Lexicon Genetics Incorporated |
| Signature |  |
| Date | July 27, 2001 |

CERTIFICATE OF MAILING

| | | | |
|---|---|-------------|---------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <u>July 27, 2001</u> | | | |
| Typed or printed name | Michelle Klein | | |
| Signature |  | Date | July 27, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/773,476 | 01/31/2001 | Glenn Friedrich | LEX-0126-USA |

24231
LEXICON GENETICS INCORPORATED
4000 RESEARCH FOREST DRIVE
THE WOODLANDS, TX 77381

CONFIRMATION NO. 4146

FORMALITIES LETTER



OC000000005912462

Date Mailed: 03/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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710.00 CH
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02 FC:105

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- For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Hulmerio Zewdie
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Glenn Friedrich, et. al.

Application No.: 09/773,476

Filed: January 31, 2000

Title: Novel Mutated Mammalian Cells and Animals



Group Art Unit: 1645

Attorney Docket No.
LEX-0126-USA:

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED**

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Box Missing Parts

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the U.S. Patent and Trademark Office on March 28, 2001, Applicants submit the following documents to complete the filing requirements of the above-identified application:

1. Executed Declaration and Power of Attorney; and
2. Return copy of Notice to File Missing Parts of Application - Filing Date Granted.

Applicants also submit herewith the following document for the above-identified application:

3. Petition for Extension of Time of two months;
4. Request for Correction of Filing Receipt; and
5. Filing Receipt marked with corrections.

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Lexicon Genetics Incorporated Deposit Account No. 50-0892:

- | | |
|---|----------|
| 1. Statutory basic filing fee | \$355.00 |
| 2. Surcharge Fee for filing declaration on a date later than the filing date of the application per 37 CFR 1.492(e) | \$65.00 |

TOTAL **\$420.00**

09/773,476

3. The Commissioner is hereby authorized to charge any actual fees associated with this paper communication or credit any overpayment to Deposit Account No. 50-0892.

The documents submitted herewith complete the filing of the above patent application. This form is being submitted in duplicate.

Respectfully submitted,



July 17, 2001

Date

Lance K. Ishimoto

Reg. No. 41,866

LEXICON GENETICS INCORPORATED
4000 Research Forest Drive
The Woodlands, TX 77381
Telephone No.: (281) 863-3333

Enclosures

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 09/773,476 | 01/31/2001 | 1645 | 0.00 | LEX-0126- USA | 8 | 7 | 2 |

CONFIRMATION NO. 4146

24231
LEXICON GENETICS INCORPORATED
4000 RESEARCH FOREST DRIVE
THE WOODLANDS, TX 77381

FILING RECEIPT



OC00000005912461

Date Mailed: 03/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Glenn Friedrich, Houston, TX;
Brian Zambrowicz, The Woodlands, TX;
Arthur T. Sands, The Woodlands, TX;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/179,110 01/31/2000
~~AND CLAIMS BENEFIT OF 60/109,302 11/20/1998~~

RECEIVED
APR 05 2001
Per. Mr. K

Foreign Applications

If Required, Foreign Filing License Granted 03/28/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Novel mutated mammalian cells and animals

Preliminary Class
435



Data entry by : ZEWDIE, HULEMENAYE Team : OIPE Date: 03/28/2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIPT
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BOX SEQ

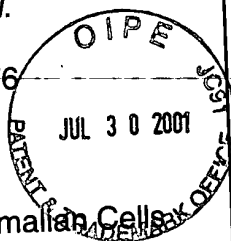
Applicant(s): Friedrich *et al.*

Application No.: 09/773,476

Filed: January 31, 2001

Title: Novel Mutated Mammalian Cells
and Animals

Attorney Docket No.: LEX-0126-USA



Group Art Unit:
1645

Examiner:
to be assigned

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant hereby requests a correction in the Filing Receipt of the above-referenced patent application. The PTO added priority information that was not claimed by Applicant. A copy of the Declaration and first page of the Specification are enclosed. Please amend the Continuing Data section to reflect the following :

"THIS APPLN CLAIMS BENEFIT OF 60/179,110 01/31/2000"

Please make the appropriate correction and issue a corrected filing receipt. Applicants believe that no fee is due with this Request for Correction of Filing Receipt because the incorrect Continuing Data reflects a PTO error. However, please charge any required fee to Lexicon Genetics Incorporated Deposit Account No. 50-0892. Enclosed is a copy of the Filing Receipt with the changes noted thereon.

Respectfully submitted,

Lance K. Ishimoto

Reg. No. 41866

July 27, 2001
Date

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